

2812
84
04.5.02

| TRANSMITTAL LETTER | | | Case No. 10519/29 |
|--|------------------------------|------------------------------|------------------------|
| Serial No. 09/918,853 | Filing Date July 30, 2001 | Examiner Not Yet Assigned | Group Art Unit 2812 |
| Inventors MICHAEL A. VYRODA, N. JOHAN KNALL, and JAMES M. CLEEVES | | | |
| Title of Invention PROCESS FOR FABRICATING A DIELECTRIC FILM USING PLASMA OXIDATION | | | |



TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an Information Disclosure Statement; PTO Form 1449; copies of cited references A1-A10; and

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a _____ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra |
|---|----------------------------------|-------|---------------------------------|---------------|
| Total | | Minus | | |
| Indep. | | Minus | | |
| First Presentation of Multiple Dep. Claim | | | | |

| Small Entity | |
|-----------------|-----------|
| Rate | Add'l Fee |
| x \$9 = | |
| x 42 = | |
| + \$140 = | |
| Total add'l fee | \$ |

or

| Other Than Small Entity | |
|-------------------------|-----------|
| Rate | Add'l Fee |
| x \$18 = | |
| x \$84 = | |
| + \$280 = | |
| Total add'l fee | \$ |

RECEIVED
MAR 15 2002
TECHNOLOGY CENTER 2800

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Jasper W. Dockrey
Jasper W. Dockrey
Registration No. 33,868
Attorney for Applicants

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on March 6, 2002

Date: March 6, 2002

Signature: *Jasper W. Dockrey*

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents
Washington, D.C. 20231
on March 6, 2002

Date of Deposit

Jasper W. Dockrey, Reg. No. 33,868

Name of applicant, assignee or
Registered Representative

Signature

March 6, 2002

Date of Signature

Our Case No.: 10519/29



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Vyvoda et al.

Serial No.: 09/918,853

Filing Date: July 30, 2001

For: PROCESS FOR FABRICATING A
DIELECTRIC FILM USING
PLASMA OXIDATION

Examiner:
Not Yet Assigned

Group Art Unit No.:
2812

RECEIVED
MAR 15 2002
TECHNOLOGY CENTER 2800

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to the obligation recited in 37 C.F.R. § 1.56 and in conformance with 37 C.F.R. §§ 1.97 and 1.98, Applicants request consideration of this Information Disclosure Statement in prosecution of this application. Pursuant to 37 C.F.R. § 1.97(b), no fee or certification is required.

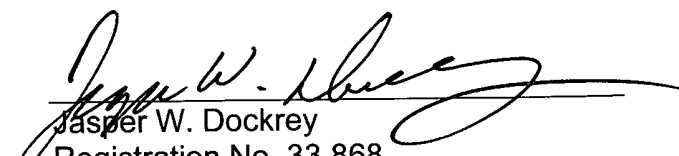
Applicants cite the references A1-A10 listed on the attached form PTO-1449. The order of these references should not be construed to suggest their relative pertinence. Copies of each of these references is enclosed for the Examiner's

review. The Examiner is encouraged to review the entire disclosure of each reference in order to draw his or her own conclusions concerning the pertinence of the references to the claimed subject matter of this application. The filing of this Information Disclosure Statement should not be construed to suggest that a patentability search has been made or that the cited references are prior art or are considered to be material to patentability.

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,


Jasper W. Dockrey
Registration No. 33,868
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610
(312) 321-4200